UTILITY **PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

259052003300 First Inventor Masayuki OHTA

Attorney Docket No.

SEMICONDUCTOR LASER DEVICE AND Title METHOD FOR MANUFACTURING THE SAME

(Anthony Soljanich)

L			cpress w	ali Label IVO.	EV 332 111	345 03				
	APPLICATION ELEME			Box Patent Application ADDRESS TO: Commissioner for Patents						
See MPEP chap	ter 600 concerning utility patent	application content	ts.	Washington, DC 20231						
'' (Submit a	insmittal Form (e.g., PTO/SB/17 in original, and a duplicate for fee proces		7.	Computer Computer	or CD-R in duplicate Program (Appendi	r)				
See 37	t claims small entity status. CFR 1.27.			Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)						
3. X Specific	•	Pages 34]1	a. Computer Readable Form (CRF)						
- Des	d arrangement set forth below) riptive title of the invention			b. Specification Sequence Listing on:						
- Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D				i. CD-ROM or CD-R (2 copies); or ii. paper						
Reference to sequence listing, a table, or a computer program listing appendix Background of the Invention Background of the Invention				c. Statements verifying identity of above copies						
- Brief	- Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>)			ACCOMPANYING APPLICATIONS PARTS						
- Deta	Detailed Description Detailed Description Claim(s) Abstract of the Disclosure				9. X Assignment Papers (cover sheet & document(s)) (2 pages) 10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney					
4. X Drawing	g(s) (35 U.S.C. 113) [Total	Sheets 4] 11.	<u> </u>	anslation Documen					
5. Oath or Declaration [Total Pages 4]] 12.	X Information Disclosure Statement (IDS)/PTO-1449 + copy X Copies of IDS Citations (1 reference) (5 pages)						
a. X Newly executed (original or copy)			13.	x Preliminary	X Preliminary Amendment (6 pages)					
b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)			14.	X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
i. DELETION OF INVENTOR(S)			15.	Certified Copy of Priority Document(s) (26 pages) (if foreign priority is claimed)						
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			16.	Nonpublicatio: Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.						
				Cothor:						
6. X Applica	tion Data Sheet. See 37 CFR 1.	76 (3 pages)	17.	17. Other:						
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:										
	Continuation Divisional Continuation-in-part (CIP) of prior application No.:									
Prior a∩ı iicati	on information: Examiner		<u> </u>	Group	/Art Unic					
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by										
	corporation can only be relied u	pon when a portion	n has beer	n inadvertently om	itted from the subn					
		19. CORRES	SPONDE	NCE ADDRESS	<u> </u>					
X Customer Number or Bar Code Label			252	26	Correspondence address below					
Name										
Address										
City State					Zip Code	Zip Code				
Country		Telepho	ne		Fax					
Name (Print	Type) Douglas G. Ho	dder		Registration No.	(Attorney/Agent)	41,840				
Signature	The same	7			Date	August 27, 2003				
<u> </u>			",	111						
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 332 777 545 US, in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shows below										

Signature:

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CEE TO ANOMAIS	L	Compl te if Known							
FEE TRANSMIT	Application Number Not Yet Assigned								
for FY 2003	Filing Date			Concurrently Herewith					
Patent fees are subject to annual re-	First Named Inventor			ntor	Masayuki OHTA				
- atem rees are subject to amula re-	Examiner Name				Not Yet Assigned				
Applicant claims small entity status. See	Group Art Unit				Not Yet Assigned				
TOTAL AMOUNT OF PAYMENT (\$)	1.070.00	Attorney Docket No.			,	259052003300			
METHOD OF PAYMENT (check all that									
Crodit Nanou		FEE CALCULATION (continued) 3. ADDITIONAL FEES							
Check Card Order O	her None	3. A	DDITIC	ONAL	FEES				
X Deposit Account									
Deposit 03 1053			Entity	Small Fee	Entity	-			
Account Number 03-1952			(\$)	Code	(\$)		Fee Desc	ription	Fee Paid
Deposit Account Morrison & Foerster LLP			130	2051	65	Surcharge -	– late filing fe	e or oath	
Name			50	2052	25		– late provisio	onal filing fee or cover	
The Commissioner is hereby authorized to: (check		1052				sheet.			
	y overpayments	1053	130	1053	130	Non-Englis	h specification	n	
Charge any additional fee(s) during the pendency of application	of this	1812	2,520	1812	2,520	•	equest for ex p		
Charge fee(s) indicated below, except for the filing fee			920*	1804	920*	Examiner a	ection	of SIR prior to	
to the above-identified deposit account.		1805	1,840*	1805	1,840*	Requesting	publication of	f SIR after	
FEE CALCULATION	i	1251	110	2251	55	Examiner a Extension f	iction or reply withir	n first month	\vdash
1. BASIC FILING FEE			410	2252	205		or reply within		
Large Entity Small Entity		1253	930	2253	465	Extension f	or reply within	third month	
Fee Fee Fee Fee Code (\$) Code (\$)	Fee Paid	1254	1,450	2254	725	Extension f	or reply within	fourth month	
1001 750 2001 375 Utility filing fee	750.00	1255	1,970	2255	985	Extension fo	r reply within f	ifth month	
1002 330 2002 165 Design filing fee		1401	320	2401	160	Notice of A	ppeal		
1003 520 2003 260 Plant filing fee		1402	320	2402	160	Filing a brie	of in support o	f an appeal	
1004 750 2004 375 Reissue filing fee		1403 1451	280	2403	140		r oral hearing		
1005 160 2005 80 Provisional filing fee			1,510	1451 2452	1,510 55		o institute a public use proceeding o revive – unavoidable		
SUBTOTAL (1) (\$)	750.00	1452 1453	1,300	2452	650		evive - uninte		
2. EXTRA CLAIM FEES FOR UTILITY AN	D BEISSITE	1501	1,300	2501	650		ue fee (or reissue)		
Extra Fee from		1502	470	2502	235	Design issu			
Claims below Fee Paid Total Claims 11 -20** = C x 18.00 = 0.00			630	2503	315	Plant issue f			
Independent 2 -3** = 0 x 84.00	= 0.00	1460	130	1460	130	Petitions to f	the Commissio	ner	
Claims 2 3 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	= 280.00	1807	50	1807	50	Processing 1	fee under 37 C	FR 1.17(q)	
Large Entity Small Entity		1806	180	1806	180	Submission	of Informatic	n Disclosure Stmt	
Fee Fee Fee Fee Fee Description			40	8021	40	Recording 6	each patent a	ssignment per	40.00
1202 18 2202 9 Claims in excess of 20		8021						of properties) final rejection	
1201 84 2201 42 Independent claims in ex	case of 3	1809	750	2809	375	(37 CFR 1.	129(a))		
1203 280 2203 140 Multiple dependent claim	, if not paid	1810	750	2810	375		dditional inver 37CFR 1.129	İ	
				2801	375	Request for	or Continued Examination (RCE)		
over original patent 1205 18 2205 9 ** Reissue claims in excess of 20			900	1802	900		t for expedited examination ign application		
and over original pate	Other fee (specify)								
SUBTOTAL (2) (\$)	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00								
**or number previously paid, if greater, For Reissues									
SUBMITTED BY						Complete (if applicable)		
Name (Print/Type) Douglas G. Hodder	Registration No. Attorney/Agent) 41,840 Telephone (650) 813-4203								
Signature							Date	August 27, 200	3
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